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**FORM** 

Application Number 09/752,533

Filling Date December 29, 2000

First Named Inventor Stephen M. COUTTS

Group Art Unit 1853

Examiner Name To Be Assigned

(to be used for all correspondence after initial filing) Total Number Of Pages In This Submission Attorney Docket No. 252312005704 DISKETTE **ENCLOSURES** (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form + copy - 2 Pgs. (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Response to Notice to Comply With Appeal Communication to Group Licensing-related Papers Requirements - 2 Pgs. (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Affidavits/declarations Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) (please identify Extension of Time Request - 1 Pg. Change of Correspondence Address Sequence Listing (hard copy) - 3 pages Terminal Disclaimer Copy of Notice to Comply with Requirements - 2 pgs. **Express Abandonment Request** Computer-readable copy of Sequence Request for Refund Listing - 1 diskette Return Receipt Pos Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304 Madeline I. Johnston (Registration No. 36,174) Individual Name Midil Signature Date December 6, 2001

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"** 

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Michael Boyd

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### **FEE TRANSMITTAL FOR FY 2002**

Complete if Known 09/752,533 Application Number December 29, 2000 Filing Date Stephen M. COUTTS First Named Inventor **Examiner Name** To Be Assigned Group Art Unit 1653

Patent fees are subject to annual revision.

(\$) 1,440.00

TOTAL AMOUNT OF PATINESS	(4) 1,440.00		Attorney Docket No.		252312005704	<del>رکی کری</del>
METHOD OF PAYMENT	FEE CALCULATION (continued)				100 47	
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES				20-	
Deposit Account Number 03-1952	Fee	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Account Morrison & Foerster LLP	405	130	205	65	Curchage late filing for as onth	
Name		50	205	25	Surcharge - late filing fee or oath Surcharge - late provisional filing	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					fee or cover sheet	<del></del>
Applicant claims small entity status. See 37 CFR 1.27		130	139	130	Non-English specification  For filing a request for ex parte	<u> </u>
2. Payment Enclosed:	147	2,520	147	2,520	reexamination	
☐ Check ☐ Credit Card ☐ Money Order ☐ Other	112	920°	112	920°	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month	
	116	400	216	200	Extension for reply within second month	
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	117	920	217	460	Extension for reply within third month	
Pald	118	1,440	218	720	Extension for reply within fourth month	1,440
101 740 201 370 Utility filing fee	128	1,960	228	980	Extension for reply within fifth month	
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal	
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal	
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing	
114 160 214 80 Provisional filing fee		1,510	138	1,510	Petition to institute a public use proceeding	
	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 0	141	1,280	241	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142	1,280	242	640	Utility issue fee (or reissue)	
Extra Fee from Fee Pald Claims below	143	460	243	230	Design issue fee	
Total Ctalms 25 -25** = 0 x 18 = \$0	144	620	244	310	Plant issue fee	
Independent 2 -3** = 0 x 84 = \$0	122	130	122	130	Petitions of the Commissioner	
Multiple Dependent • \$0	123	50	123	50	Petitions related to provisional applications	
	126	180	126	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per properties (times number of properties)	
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84 202 42 Independent claims in excess of 3	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
104 280 204 140 Multiple dependent claims, if not paid	179	740	279	370	Request for Continued Examination (RCE)	
109 84 209 42 **Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 **Reissue claims in excess of 20 and over original patent					a, a acorgii appiidation	
	Other fee (s	specify)				
SUBTOTAL (2) (\$) 0						
** or number previously paid, if greater; For reissues, see above.	*Reduced by Basic Filing Fee Paid SUBT TAL (3) (\$) 1,440					

SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) Madeline I. Johnston 36,174 Telephone (650) 813-5840 (Attomey/Agent) December 6, 2001 Signature

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PATENT Docket No. 252312005704

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Michael Boyd

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Stephen M. COUTTS, et. al.

Serial No.: 09/752,533

Filing Date: December 29, 2000

For: CONJUGATES OF CHEMICALLY

DEFINED NON-POLYMERIC

VALENCY PLATFORM MOLECULES

AND BIOLOGICALLY ACTIVE

MOLECULES

Examiner: To Be Assigned

Group Art Unit: 1653

### RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

U.S. Patent and Trademark Office Box Sequence Listing Assistant Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

Dear Sir:

The printed Sequence Listing is identical to the Sequence Listing submitted in machinereadable form. This paper is submitted to comply with the United States Patent Office rules governing gene sequences. No new matter is added.

In the unlikely event that the transmittal letter is separated from this sequence listing and the U.S. Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this sequence listing to our **Deposit Account No. 03-1952**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated:

December 6, 2001

By:

Madeline I. Johnston

Registration No. 36,174

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-5840 Facsimile: (650) 494-0792



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WASHINGTON, D.C. 20231

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APPLICATION NUMBER

on & Foerster LLP

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/752,533

Page Mill Road

Palo Alto, CA 94304-1018

12/29/2000

Stephen M. Coutts

252312005704

**CONFIRMATION NO. 1380** 

**FORMALITIES LETTER** 

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\*OC000000006154486\*

Date Mailed: 06/06/2001

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
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